



# **FEE TRANSMITTAL SHEET (FOR FY 2003)**

**Complete if Known**

Application No. 09/970,100  
 Filing Date October 2, 2001  
 First Named Inventor Vishnu K. Agarwal  
 Group Art Unit 1762  
 Examiner William P. Fletcher, III  
 Atty. Docket Number 500453.04

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## **METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
101	750	201	375	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	750	208	375	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee

**Subtotal (1) \$**

### **2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total 17	-	20	= 0 x \$ 18 =	\$ 0
Ind. 6	-	6	= 0 x \$ 84 =	\$ 0
Multiple Dependent Claims			x \$ =	\$

**Subtotal (2) \$**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

### **3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
195	300	196	300	Publication (early or Republication)	\$
115	110	215	55	Extension for reply within first month	\$
116	410	216	205	Extension for reply within 2 <sup>nd</sup> month	\$
117	930	217	465	Extension for reply within 3 <sup>rd</sup> month	\$
118	1,450	218	725	Extension for reply within 4 <sup>th</sup> month	\$
128	1,970	280	985	Extension for reply within 5 <sup>th</sup> month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	270	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$ 110
140	110	240	55	Petition to revive - unavoidable	\$
141	1,300	241	650	Petition to revive - unintentional	\$
142	1,300	242	650	Utility/Reissue issue fee (+ advance copies)	\$
143	470	243	235	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	750	279	375	Request for Continued Examination (RCE)	\$

Other fee (specify)

**Subtotal (3) \$ 110**

**Total Amount of Payment: \$ 110**

Submitted by:

Name: Steven H. Arterberry

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Signature: *Steven Arterberry*

Date: 5/1/03



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

May 1, 2003

Yekaterina Geyfman

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Vishnu K. Agarwal and  
Scott G. Meikle

Attorney Docket No.: 500453.04

Serial No. : 09/970,100

Group Art Unit : 1762

Filed : October 2, 2001

Examiner : William P. Fletcher, III

Title : POLISHING PADS AND PLANARIZING MACHINES FOR MECHANICAL OR  
CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE  
SUBSTRATE ASSEMBLIES, AND METHODS FOR MAKING AND USING SUCH  
PADS AND MACHINES

Commissioner for Patents  
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AMENDMENT

Sir:

This is in response to the Office Action dated February 7, 2003. Please enter the following amendments and please reconsider the application in view of the following amendments and remarks.